

LETTER OF INTRODUCTION & DISCLOSURES

In complying with the FAIS legislation, I would like to bring the following information to your attention:

- a. My name is Brett Daniel and my contact details are stated above on this letterhead.
- b. I am a Key Individual with a National Certificate in Wealth Management (NQF level 5) qualification at CompliMed (Pty) Ltd, an authorised financial services provider, which accepts responsibility for my activities and is licensed to render financial services.
- c. A copy of the licence, which contains details of the financial services I am authorised to provide, as well as any exemptions, is available for inspection on request.
- d. I have been authorised to provide financial advice and intermediary services since 2017 in the following areas of financial planning:
 - i. Long-Term Insurance: Category A
 - ii. Short-Term Insurance: Personal Lines
 - iii. Long-Term Insurance: Category B1
 - iv. Long-Term Insurance: Category B2
 - v. Long-Term Insurance: Category C
 - vi. Participatory Interest in a Hedge Fund
 - vii. Retail Pension Benefits
 - viii. Pension Fund Benefits
 - ix. Participatory Interests in a Collective Investment Scheme
 - x. Health Service Benefits (Under supervision)
- e. CompliMed (Pty) Ltd has written authority to market the abovementioned categories of business underwritten by either:
 - i. Turnberry Management Services Pty Ltd
 - ii. Ambledown Financial Services
 - iii. African Unity Health/Kaelo
 - iv. Momentum
- f. CompliMed (Pty) Ltd does not hold more than 10% of the shares issued by any product supplier.
- g. CompliMed (Pty) Ltd is remunerated for services by being paid commission from the product supplier.
- h. CompliMed (Pty) Ltd did not receive more than 30% of their last year's commission and remuneration from any one product supplier.
- i. CompliMed (Pty) Ltd holds professional indemnity insurance
- j. Compliance with the FAIS Act is monitored by Louise Parady, an independent Compliance Officer approved by the Financial Services Board, FSCA Practice number 5093. Her contact number is 082 459 1520 and her e-mail address is louise@lpardy.co.za
- k. CompliMed (Pty) Ltd wish to advise that all information obtained or acquired about you shall remain confidential unless you provide written consent, or unless required by any law to disclose such information.
- l. CompliMed has a Conflict of Interest policy in place.

In the event that you are dissatisfied with any aspect of my service, you should address your complaint in writing to me at the above address. A copy of our Complaints Resolution Policy is available on request.

Yours sincerely

Brett Daniel